

UNIT TRUST TRANSACTION FORM

ACCOUNT DETAILS

Account No:	0012345
Full Name of Main Applicant (as in NRIC/Passport):	John Doe
Full Name of Joint Applicant (as in NRIC/Passport):	

SUBSCRIPTION

Fund Name <small>(Please indicate the fund class details)</small>	Currency	Investment Amount (\$)	Sales Charge (%)	Dividend Option*
First Sentier Bridge Fund – Class A (SGD - H Dist)	SGD	\$100,000	1%	<input type="checkbox"/> Receive Cash <input checked="" type="checkbox"/> Re-Invest
Allianz Oriental Income Fund – Class AT (SGD) Acc.	SGD	\$100,000	1%	<input type="checkbox"/> Receive Cash <input type="checkbox"/> Re-Invest
				<input type="checkbox"/> Receive Cash <input type="checkbox"/> Re-Invest
				<input type="checkbox"/> Receive Cash <input type="checkbox"/> Re-Invest
				<input type="checkbox"/> Receive Cash <input type="checkbox"/> Re-Invest
				<input type="checkbox"/> Receive Cash <input type="checkbox"/> Re-Invest

*Default dividend option is to re-invest dividends

PAYMENT DETAILS

<input checked="" type="checkbox"/> Deduct funds from account	<input checked="" type="checkbox"/> Deduct from CGS-CIMB account stated above <input type="checkbox"/> Deduct from other CGS-CIMB account: <input style="width: 150px;" type="text"/>
<input type="checkbox"/> Cheque/Cashier's Order (CO)	Issuing Bank: <input style="width: 150px;" type="text"/> Cheque/CO Number: <input style="width: 150px;" type="text"/> Amount (\$): <input style="width: 150px;" type="text"/> Cheque/CO to be payable to "CGS-CIMB Securities (Singapore) Pte Ltd". SGD and USD cheques are accepted.

REDEMPTION

Fund Name <small>(Please indicate the fund class details)</small>	Currency	No. of Units	Redemption Fee (%)

SWITCHING

Switch From (Please indicate the fund class details)	Currency	No. of Units	Switch To (Please indicate the fund class details)	Currency	Allocation (%)	Switching Fee (%)	Dividend Option*
							<input type="checkbox"/> Receive Cash <input type="checkbox"/> Re-Invest
							<input type="checkbox"/> Receive Cash <input type="checkbox"/> Re-Invest
							<input type="checkbox"/> Receive Cash <input type="checkbox"/> Re-Invest
							<input type="checkbox"/> Receive Cash <input type="checkbox"/> Re-Invest
							<input type="checkbox"/> Receive Cash <input type="checkbox"/> Re-Invest
							<input type="checkbox"/> Receive Cash <input type="checkbox"/> Re-Invest

PROVISION OF ADVICE

Yes, refer to Wealth Planner Form (Dated: _____) & Wealth Solutions Form (Dated: _____)

No

DECLARATION

GENERAL TERMS

- I acknowledge that I have received, read, understood and accepted the terms of the latest Prospectus of the respective Funds and the relevant Annex of the Sub-Funds, as the same may be amended, supplemented, modified, varied or replaced from time to time (collectively known as "Prospectus"), including the T&Cs attached to this form, and irrevocably agree to be bound by them.
- I irrevocably undertake to observe and agree to be bound by the terms and conditions of the latest Trust Deed and/or any supplemental deed (collectively known as "Trust Deed") constituting the respective Funds (as may be modified from time to time).
- I declare that I am not a US Person as defined in the Prospectus, and that the Units of the relevant Sub-Funds are not being acquired or held beneficially by or for a US Person or in violation of any applicable laws. I did not and will not engage in any activity relating to the sale, acquisition or transfer of the Units of the Sub-Fund in the United States, its territories or possessions.
- I agree to hold harmless, release and indemnify CGS-CIMB, China Galaxy International Financial Holdings Limited ("CGI"), CIMB Group Sdn.Bhd. ("CIMBG") and their respective related corporations and associated corporations (including joint venture companies and their related corporations in which CGI or CIMBG or any of their respective related corporations have an interest) and their respective, officers, owners, directors, employees, successors, heirs and assignees from any and all liabilities, claims or losses arising from arising from CGS-CIMB's reliance on the declarations made or instructions given by me.

Main Applicant / Authorized Signature 1

Date: 01/02/2021

Joint Applicant / Authorized Signature 2

Date:

For Official Use:

Submitted by / Date	Approved by / Date
<p>Category 1 & 2: TR to sign</p> <p>Category 3: PW RM/IC to sign</p>	

IMPORTANT NOTICE

Financial Advice

CGS-CIMB is an exempt Financial Adviser under the Financial Advisers Act ("FAA"). If you wish to seek our advice on the suitability of investment products, you should complete a Wealth Planner Form and Wealth Solutions Form and provide accurate and complete information on your financial objectives, situation and special needs. Inaccurate or incomplete information provided may affect the suitability of the product(s) in meeting your financial objectives / needs / risk profile. If you choose not to seek our advice or to provide us with relevant information, our role is limited to executing the transaction(s) requested by you in this application and you must rely on your own independent assessment and/or seek independent financial advice regarding the suitability of the product transaction(s).

Disclosure of Remuneration

FAA Notice No.3 requires us to disclose all remuneration we receive that is directly related to the making of any recommendation in respect of an investment product to you or executing a sale contract relating to a designated investment product on your behalf. We may receive trailer fees that constitute a part of the management fees earned by the fund manager. Please refer to the fund prospectus / factsheet for the type and range of fees. Where there are subscription, redemption or switching fees, we may receive anywhere from 0% to 100% of the total sales charges borne by the customer. For the exact amounts, please check with your Financial Adviser / Trading Representative in relation to the specific transactions applied for in this form.

Notes

- 1) If this form is submitted after 12 pm on any dealing day, the form shall be deemed to be an order received by CGS-CIMB on the next dealing day.
- 2) The redemption proceeds will normally be made to you within 2 weeks from the receipt of your form.
- 3) CGS-CIMB may reject any of your instructions that are incomplete, unclear or ambiguous, or if your signature(s) differ(s) from what was originally provided as a specimen to CGS-CIMB, and CGS-CIMB will not be responsible for any losses that may be suffered by you due to such rejection of any of your instructions.

IMPORTANT NOTICE IN RELATION TO ANY CANCELLATION (WHEN APPLICABLE)

The Applicant has the right to cancel the subscription of the fund(s) in this form within 7 days from the date of the form. The Applicant is to complete the Unit Trust Cancellation Form to provide instructions to CGS-CIMB for the cancellation.

Upon receipt by CGS-CIMB of the Unit Trust Cancellation Form from the Applicant, any allocated units subscribed will be cancelled at the prevailing Net Asset Value ("NAV") of the fund. The proceeds of the cancellation which will be received by the Applicant will include the sales charge paid by the Applicant to CGS-CIMB, after deducting any and all costs incurred by CGS-CIMB in the process of subscription and cancellation. In the event that the NAV of the units has increased after the date of this Unit Trust Cancellation Form, the Applicant is not entitled to receive any amounts resulting from the increase in NAV.

The right of cancellation is only applicable to Applicants who are individual investors. This right of cancellation does not apply to:

- (a) Funds that are recognised, exempted offers, restricted schemes or if the provisions for cancellation are not stated in the fund's prospectus; and
- (b) Any current and subsequent subscriptions to the same fund.

IMPORTANT TERMS AND CONDITIONS ("T&Cs") FOR ANY TRANSACTIONS RELATING TO FULLERTON FUND

GENERAL

1. Please complete all the sections on this Transaction Form and have it properly executed. Unless otherwise stated in this form, please submit the completed Transaction Form **by 12 p.m. Singapore time on any Dealing Day** to CGS-CIMB.
2. CGS-CIMB shall be notified immediately by e-mail or telephone call of any change of the Applicant's details in this form or supporting documents.
3. Information on the relevant Sub-Funds contained in the Prospectus and Trust Deed shall prevail over any information contained in this form. All other information or representations made must be regarded as unauthorised and must not be relied upon. The T&Cs contained herein are subject to change at the absolute discretion of the Managers and CGS-CIMB.
4. All capitalised terms used in this form unless otherwise defined shall have the meaning ascribed to them in the Prospectus.
5. The Managers, Trustee and/or CGS-CIMB shall be entitled in their sole and absolute discretion at any time after receipt of this form to deem an incomplete or improperly completed form, or the Applicant failing to provide true and accurate information and documents as required for the processing of this application in accordance with the Prospectus, applicable laws and regulations, to be an invalid request. The Managers, Trustee and CGS-CIMB shall not be liable to the Applicant for any losses suffered by the Applicant as a result any delay or failure by the Applicant to submit a duly completed form, and requisite documents.
6. Transactions will only be accepted after the account has been opened.
7. The Managers, Trustee and/or CGS-CIMB and any of their respective affiliates, subsidiaries, associates, agents and/or delegates may collect, use and disclose to each other, to any affiliate, to any other service provider to the Fund and to any regulatory body in any applicable jurisdiction, copies of this form and any information including personal data (if any) concerning the Applicant and the Applicant's individual representatives in their respective possession, whether provided by the Applicant to the relevant Sub-Fund, the Managers, the Trustee, CGS-CIMB and any of their respective affiliates, subsidiaries, associates, agents and/or delegates, including but not limited to the purposes of providing services to the Fund, performing their respective legal and regulatory obligations and conducting financial crime risk management and other activities and such other purposes set out in the Trustee's data protection policy and data privacy statement (as each may be amended from time to time) which are available at <http://www.hsbc.com.sg/1/2/miscellaneous/privacy-and-security>. Any such disclosure shall not be treated as a breach of any restriction upon the disclosure of information imposed on any such person by law or otherwise.
8. iTrade's Assets Under Management ("AUM"), brokerage and custody charges are waived for the Fullerton SGD Cash Fund.

RECOMMENDATION AND/OR ADVICE

9. The Applicant is aware that the transaction request is not tantamount to receiving any financial advice or recommendation from the Managers and/or their appointed agents' representative(s) (including CGS-CIMB); and that any factual information was given generally without taking into consideration the Applicant's investment objectives, financial situation and particular needs. The Applicant accepts that it is the Applicant's sole responsibility to ensure the suitability of the relevant Sub-Fund for the Applicant's own needs, in the event that Applicant has chosen not to receive any recommendation and/or advice from the Managers and/or their representatives (including CGS-CIMB), the Applicant will therefore not be able to rely on section 27 of the Singapore Financial Advisers Act to file any civil claim in the event the Applicant alleges to have suffered any loss.

SUBSCRIPTION

10. Please refer to the Prospectus for the minimum initial and/or subsequent purchase amounts of the relevant Sub-Fund or Class of a Sub-Fund.
11. Upon receipt of the completed Transaction Form and the full subscription amount by the Managers, Units of the Sub-Fund will be issued at the relevant dealing day's offer price.
12. The Managers reserve the right to deem any transaction as cancelled and/or to recover from the Applicant any loss, expenses, claims, costs or charges which may be incurred by the Fund, as a result of the Applicant's failure to make full payment for value in accordance with the transaction.
13. The Managers shall have the right to allot fewer Units than the number applied for or to refuse any subscriptions without giving any reason.
14. Subscription payments
 - a) The Applicant must ensure that sufficient funds are remitted for subscription payment before the close of business on the Dealing Day or on any other date as advised by the Managers, Trustee or CGS-CIMB.
 - b) For payment of SGD via cheque/cashier's order, please issue the cheque/cashier's order in favour of the relevant "Account Name" per the Transfer Detail above and submit the cheque/cashier's order and this form to CGS-CIMB **by 12 p.m. Singapore Time** on the day the Applicant is submitting this form.

REALISATION

15. Subject to the Prospectus, the Managers may compulsorily redeem Units of a Unitholder.
16. A realisation request accepted by the Managers is irrevocable by the Unitholder except with the consent of the Managers.
17. Unitholders may realise their Units in full or in part. However, a partial realisation of Units (a) must meet the minimum realisation amount; and (b) is subject to the Unitholder maintaining the minimum holding of Units as prescribed in the Prospectus or Trust Deed.
18. Realisation proceeds will be sent to the Unitholder in accordance with the Code on Collective Investment Schemes.

E-MAIL AUTHORITY/ INDEMNITY

19. The Applicant is aware that e-mail is not a secure or error-free medium of communication and that there are possible risks involved in connection with the transmission of information via e-mail. The Applicant further acknowledges the possible risks involved in connection with the giving of any e-mail instruction to CGS-CIMB relating to the subscription of units in any applicable fund (which is not limited to the Fullerton Fund), including but not limited to, CGS-CIMB, the Managers and/or the Trustee not being in a position to verify the Applicant's signature(s) on such e-mail instruction, some third party forwarding/sending e-mail instructions purportedly with respect to such Applicant's account, and CGS-CIMB, the Managers and/or the Trustee not being able to distinguish that such e-mail instructions have not come from the Applicant. CGS-CIMB, the Managers and/or the Trustee are irrevocably and unconditionally authorized to act on any e-mail instructions received from any Applicant, which they in their sole discretion reasonably believed to have emanated from such Applicant, and CGS-CIMB, the Managers and the Trustee shall be fully indemnified, and held harmless, at all times against all actions, proceedings, claims, loss, damage, costs and expenses (including attorneys' fees, taxes and penalties) including consequential losses/damages which may be brought against them or suffered or incurred by them and which shall have arisen either directly or indirectly out of or in connection with them accepting such e-mail instructions.
20. This e-mail authority shall remain in force until notice in writing of its termination is received by CGS-CIMB, and shall continue to apply to all dealings and transactions between CGS-CIMB, the Managers and/or the Trustee and the Applicant in relation to all Sub-Funds invested into by the Applicant, notwithstanding that it shall later be shown that the instructions were not signed or sent by the relevant Applicant. This e-mail authority does not apply to notifications of change of name or transfers, and the use of powers of attorney where original documentation must be sent by post to CGS-CIMB.

GOVERNING LAW & INDEMNITY

21. As a result of this application the Applicant will not, and neither will any associate or affiliate of the Applicant, breach any applicable laws, regulations or requirements of any country or governmental authority nor any terms of any contracts to which the Applicant or the Applicant's associates or affiliates are a party.
22. The Applicant shall indemnify upon first demand the Managers, the Trustee and/or CGS-CIMB against any actions, proceedings, claims, loss, damage, costs and expenses (including attorneys' fees, taxes and penalties), including consequential loss and/or damage, which may be brought against them or suffered or incurred by them and which shall have arisen either directly or indirectly out of or in connection to a breach by the Applicant of these T&Cs, or out of the Managers, the Trustee and/or CGS-CIMB relying on, accepting or failing to act on any instruction or declaration or information given by or on behalf of the Applicant unless due to the wilful default, fraud or gross negligence of the Managers, the Trustee or CGS-CIMB, as the case may be.
23. If any provision or clause of these T&Cs is deemed to be, or otherwise becomes, void or unenforceable in whole or in part for any reason, such unenforceability or invalidity shall not affect the validity of the remaining T&Cs or any of the other terms and conditions contained in this form.
24. The Applicant agrees that the Managers, Trustee, CGS-CIMB and/or their respective appointed agents may record telephone conversations between (i) the Applicant and (ii) the Managers, Trustee, CGS-CIMB and/or their respective appointed agents and any such recording may be submitted in evidence in court or in any legal proceedings, with the same value as written evidence.

TAX AND RELATED DECLARATIONS AND LEGISLATION

25. The Applicant agrees to provide to the Managers, Trustee and/or their respective appointed agents (including CGS-CIMB) on an on-going basis any form, document, tax status, self-certifications, identity or residency information or any other information (including, without limitation, an applicable US Internal Revenue Service Form W-8 / W-9) for the Fund to comply with any tax reporting or other related reporting or due diligence requirements under applicable law, and to allow the Managers, Trustee and their respective appointed agents (including CGS-CIMB) (on behalf of the Fund) to make any payment to the Applicant without any deduction or withholding for or on account of any tax, and to comply with any reporting or other requirements under applicable law, including but not limited to pursuant to sections 1471 through 1474 of the US Internal Revenue Code of 1986, as amended (the "Code") and any fiscal or regulatory legislation, rules or practices adopted pursuant to any intergovernmental agreement entered into in connection with such sections of the Code ("FATCA"). Any such form, document or information shall be provided promptly upon reasonable demand by the Managers, Trustee and/or their respective appointed agents (including CGS-CIMB) and prior to any such form, document or information becoming obsolete, unreliable or incorrect. Each Applicant further agrees to notify the Managers, Trustee and/or their respective appointed agents (including CGS-CIMB) of any change to any such form, document, tax status, self-certifications, identity or residency information or any other information previously provided to the Managers, Trustee and/or their appointed agents (including CGS-CIMB).
26. The Applicant agrees that each of the Managers, Trustee and/or their respective appointed agents (including CGS-CIMB) are permitted to disclose any information with respect to the Applicant to any government or tax authority if so required, and the Applicant irrevocably waives, to the extent possible, any applicable law that, but for a waiver, would prevent any such disclosure.
27. Notwithstanding any other provision of this form to the contrary, the Applicant agrees that all payments made by, or on behalf of, the Fund to, or for the benefit of, the Applicant shall be made net of any taxes imposed or collected pursuant to FATCA or any other applicable law and no additional amounts shall be payable in respect of any such taxes. The Applicant acknowledges and agrees that the Managers and/or Trustee (on behalf of the Fund) (including CGS-CIMB) may be required to withhold parts of certain payments as required by local laws, regulations or contractual obligations with other jurisdiction's tax authorities, such as the US Internal Revenue Service.