

Policy for restriction on circulation of unauthenticated news

CGS-CIMB Securities (India) Private Limited

Document Version Control and Change Management

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**POLICY FOR RESTRICTION ON CIRCULATION OF
UNAUTHENTICATED NEWS**

All employees of the company should refrain from circulating rumours or unverified information obtained from client, industry, any trade or any other sources without verification. Any market related news received by them either in their official mail/personal mail/blog or in any other manner, should be forwarded only after the same has been seen and approved by the Compliance Officer.

If an employee fails to do so, he/she shall be deemed to have violated the provisions of this policy, various provisions contained in SEBI Act/Rules/Regulations etc. and shall be liable for action